

**STATUTORY NOTICE TO SHORT TERM INSURANCE POLICY HOLDERS  
IN TERMS OF THE GENERAL CODE FOR AUTHORISED  
FINANCIAL SERVICES PROVIDERS AND REPRESENTATIVES  
LEGALEX (PTY) LTD**

In terms of the Financial Advisory and Intermediary Services Act, you have the right to the following information:  
**PLEASE READ CAREFULLY**

<b>PARTICULARS OF THE ADMINISTRATOR</b>		
(a) Name, physical address, postal address and telephone number	<p><b>Legalex (Pty) Ltd</b> is a company incorporated in terms of the Company's Act of South Africa bearing registration number 2003/003715/07.</p> <p>Physical Address: 118 Oak Avenue, Highveld Technopark, Centurion            Postal Address: PO Box 10488, Centurion, 0046            Telephone: 0861 10 20 92            Facsimile: 0861 92 10 20            Website: <a href="http://www.legalex.co.za">www.legalex.co.za</a>            VAT number: 4290212077            Customer Care Line: 0861 10 20 92</p>	
(b) Legal and contractual status of the provider	<p><b>Legalex (Pty) Ltd</b> is a licensed Financial Services Provider (FSP 5277).  <b>Legalex (Pty) Ltd</b> acts in an administrator capacity in accordance with the mandate by Guardrisk Insurance Company Limited.  <b>Legalex (Pty) Ltd</b> has Professional Indemnity Insurance and, through its debiting agent, fidelity insurance cover.  <b>Legalex (Pty) Ltd</b> receives more than 30% of their remuneration for financial services from Guardrisk Insurance Company Limited.</p>	
(c) Compliance Officer contact details	<p>ISS Compliance (Pty) Ltd            Tel: 0861 266 759            Fax: 0866 002 747            e-mail: <a href="mailto:surprise@easecomply.com">surprise@easecomply.com</a></p>	
(d) Complaints Resolution Policy	<p>Available at <a href="http://www.legalex.co.za">www.legalex.co.za</a> or 0861 10 20 92</p>	
(e) Complaints department	<p>Should you have a complaint, kindly contact the complaints department or the Compliance Officer on 0861 10 20 92.</p>	
(f) Type of policy involved	<p><b>Legalex (Pty) Ltd</b> is authorised to render advice pertaining to product category 1/2 and 1/6 for which the Administrator is authorised in terms of FAIS. Please see your policy document for details.</p>	
(g) Extent of premium obligations you assume as policyholder	<p>Refer to policy document for payment particulars.</p>	
(h) Manner of payment of premium, due date of premiums and consequences of non-payment	<p>Refer to policy document for payment particulars.</p>	
<b>PARTICULARS OF THE INSURER</b>		
(i) Name, physical address, postal address and telephone number.	<p>Guardrisk Insurance Company Limited is a company incorporated in terms of the Company Act of South Africa bearing registration number 1992/001639/06 (FSP 26/10/75).</p> <p>Physical Address: 102 Rivonia Road, Sandton, 2196            Postal Address: PO Box 786015, Sandton, 2146            Telephone: + 27 (11) 669 1000            Website: <a href="http://www.guardrisk.co.za">www.guardrisk.co.za</a>            VAT number: 4250138072</p>	
(j) Compliance/Complaints department	<p>Please note that all complaints regarding the advice or services received from <b>Legalex (Pty) Ltd</b> must be referred to the Compliance Officer referred to in (c) above.            All complaints regarding the <u>features of the product</u> must be referred to Guardrisk Insurance Company Limited can be forwarded to the Compliance Office at (011) 669 1000.</p>	
<b>OTHER MATTERS OF IMPORTANCE</b>		
<p>If any complaint to the Administrator or Insurer is not resolved to your satisfaction, you may submit the complaint to the Registrar of Short Term Insurance. Polygraph or any lie detector test is not obligatory in the event of a claim and the failure thereof may not be the sole reason for repudiating a claim. If the premium is paid by debit order: It may only be in favour of one person and may not be transferred without your approval and the Insurer must inform you at least 30 days before the cancellation thereof, in writing, of its intention to cancel such debit order.</p>		
<p><b>WARNING</b></p> <p>Do not sign any blank or partially completed application form. Complete all forms in ink. Keep all documents handed to you. Make notes of what is said to you. Don't be pressurised to buy the product. Incorrect or non-disclosure by you may impact on any claims arising from your contract of insurance.</p>		
<p><b>Particulars of the Ombudsman who is available to advise you in the event of claims problems that are not satisfactorily resolved by the Insurance Administrator and / or the Insurer-</b></p>		
<p><b>Particulars of the Ombudsman for Short-Term Insurance</b>            The Ombudsman            PO Box 32334            Braamfontein            2017            Tel: 011 726 8900 / Fax: 011 726 5501</p>	<p><b>Particulars of the Financial Advisory &amp; Intermediary Services (FAIS) Ombudsman-</b>            FAIS Ombud            PO Box 74571            Lynwood Ridge            0040            Tel: 012 762 5000 / Fax: 012 470 9097            Website: <a href="http://www.faisombud.co.za">www.faisombud.co.za</a></p>	<p><b>Particulars of Registrar of Short Term Insurance</b>            Registrar of short term insurance            Financial Services Board            PO Box 35655            Menlo Park            0102            Tel: 012 428 8000 / Fax: 012 346 6941</p>